



Supporting Documents for Corporate Account Application



SUPPORTING DOCUMENTS FOR CORPORATE ACCOUNT APPLICATION

If you are applying as a corporate investor, you will need to provide the following documents.

	Required Documents	Included
Corporate Documents		
1	Copy of Constitution or a similar such constitutional document	<input type="checkbox"/>
2	Copy of Certificate of Incorporation, formation, or establishment (if applicable)	<input type="checkbox"/>
3	Copy of Certificate of Incumbency dated within the last 6 months (if applicable)	<input type="checkbox"/>
4	Copy of register of members / shareholders / partners / beneficial owners	<input type="checkbox"/>
5	Copy of register of directors	<input type="checkbox"/>
6	Board resolution or other documentary evidence authorizing the account opening at iSTOX, and appointment of the authorised representative(s) to act on the corporate's behalf	<input type="checkbox"/>
7	Business profile from Accounting and Corporate Regulatory Authority (ACRA) or equivalent authority's database	<input type="checkbox"/>
8	Evidence of regulatory licenses or permissions to conduct regulated financial services by the relevant financial industry regulator (if applicable)	<input type="checkbox"/>
9	A recent bank account statement of the bank account to be used for withdrawal or transfer of funds from your iSTOX account	<input type="checkbox"/>
Tax Documents		
10	W-8BEN-E Form and/or W-8IMY Form	<input type="checkbox"/>
11	CRS Entity Self Certification Form	<input type="checkbox"/>
12	CRS Controlling Person Self Certification Form (if applicable)	<input type="checkbox"/>
Authorised Representatives		
13	Copy of NRIC or passport for each authorised representative	<input type="checkbox"/>
14	A recent bank account statement or utility bill as proof of residential address for each authorised representative	<input type="checkbox"/>

Please Note: You can skip the sections below (15-22) if you belong to any one of these categories:

- An entity listed on the Singapore Exchange;
- An entity listed on a stock exchange outside of Singapore that is subject to regulatory disclosure requirements and requirements relating to adequate transparency in respect of its beneficial owners;
- A financial institution regulated set out in [Appendix 1 of the Notice SFA04-N02](#);
- A financial institution incorporated or established outside Singapore that is subject to and supervised for compliance with AML/CFT requirements consistent with standards set by FATF
- An investment vehicle where the manager is a financial institution (i) set out in Appendix 1 of the Notice SFA04-N02 or (ii) incorporated or established outside Singapore that is subject to and supervised for compliance with AML/CFT requirements consistent with standards set by FATF.

	Required Documents	Included
Connected Parties with Management Control		
15	Copy of NRIC or passport for each connected party	<input type="checkbox"/>
16	A recent bank account statement or utility bill as proof of residential address for each connected party	<input type="checkbox"/>
Shareholders / Partners / Beneficial Owners		
17	Ownership organisational chart, including the ownership of any shareholder/ partner/ beneficial owner who owns or exercises control of 25% or more (whether directly or indirectly) of the voting/ controlling rights	<input type="checkbox"/>
18	Where the controlling shareholder is an individual Copy of NRIC or passport for each shareholder/ partner/ beneficial owner who owns or exercises control of 25% or more (whether directly or indirectly) of the voting/controlling rights	<input type="checkbox"/>
19	Where the controlling shareholder is an individual Proof of address for each shareholder/ partner/ beneficial owner who owns or exercises control of 25% or more (whether directly or indirectly) of the voting/ controlling rights	<input type="checkbox"/>

20	Where the controlling shareholder is a corporation Particulars of controlling shareholders and/or partners of the corporate controlling shareholder (documents requested for in items 1 to 4 in respect of the corporate shareholder)	<input type="checkbox"/>
Financial Statements		
21	Latest audited financial statements	<input type="checkbox"/>
22	Where the corporation is not required to prepare audited financial statements regularly, balance sheet (not older than 12 months) that is certified by a director to give a true and fair view of the state of affairs of the corporation	<input type="checkbox"/>

Please note that copies referred to in the list shall be certified true copies, and proof of address should be a bank or utility statement that is less than 3 months old. Passports should be used unless the applicant holds a Singapore-issued NRIC (applicable to Singaporeans/PR).

If a document provided is not in English, please also provide an English translation of the document which is certified by:

- a suitably qualified translator;
- a practising advocate and solicitor, an embassy or consulate official or an approved company auditor in the country of formation or incorporation of the Applicant who is conversant in that foreign language; or
- the in-house/general counsel, director, group/in-house company secretary of the Applicant who is conversant in that foreign language.

A certified true copy:

a) in relation to the certificate of incorporation, formation or establishment, means a copy of the certificate of incorporation, formation or establishment, where applicable, certified as a true copy of the original by:

- i. an official, holding an office corresponding to the Registrar of Companies under the Singapore Companies Act, in the country of formation or incorporation of the Applicant;
- ii. a notary, practising advocate and solicitor or an embassy or consulate official in the country of formation or incorporation of the Applicant;
- iii. the in-house/general counsel of the Applicant; or
- iv. a certified public or professional accountant.

b) in relation to the identification document for an individual such as NRIC or passport, where applicable, certified as a true copy of the original by:

- i. a notary, practising advocate and solicitor or an embassy or consulate official in the country of formation or incorporation of the Applicant;
- ii. the in-house/general counsel of the Applicant; or
- iii. a certified public or professional accountant,

If you have any questions or issues about applying for an iSTOX account as a corporate please contact us at support@istox.com.